

Legislative compliance framework

The importance of legislative compliance

The department understands and has systems and processes in place to comply with legislative obligations.

Our commitment to legislative compliance supports accountability and empowers good decision-making at all levels of our organisation. This ensures we act ethically, safely and meet community expectations.

We apply a risk-based approach to prioritise compliance focus areas aligned to the department's areas of lowest appetite for risk:

- child and student safety
- workplace health and safety
- information security (security of confidential and personal information)
- fraud and corruption

Our principles

Accountability:

responsibility, integrity and transparency are at the core of our actions and decisions.

Compliance culture:

tools and knowledge support compliant behaviour and provide confidence and pathways to report non-compliance.

Effectiveness:

compliance practices and controls work as intended and mitigate risk.

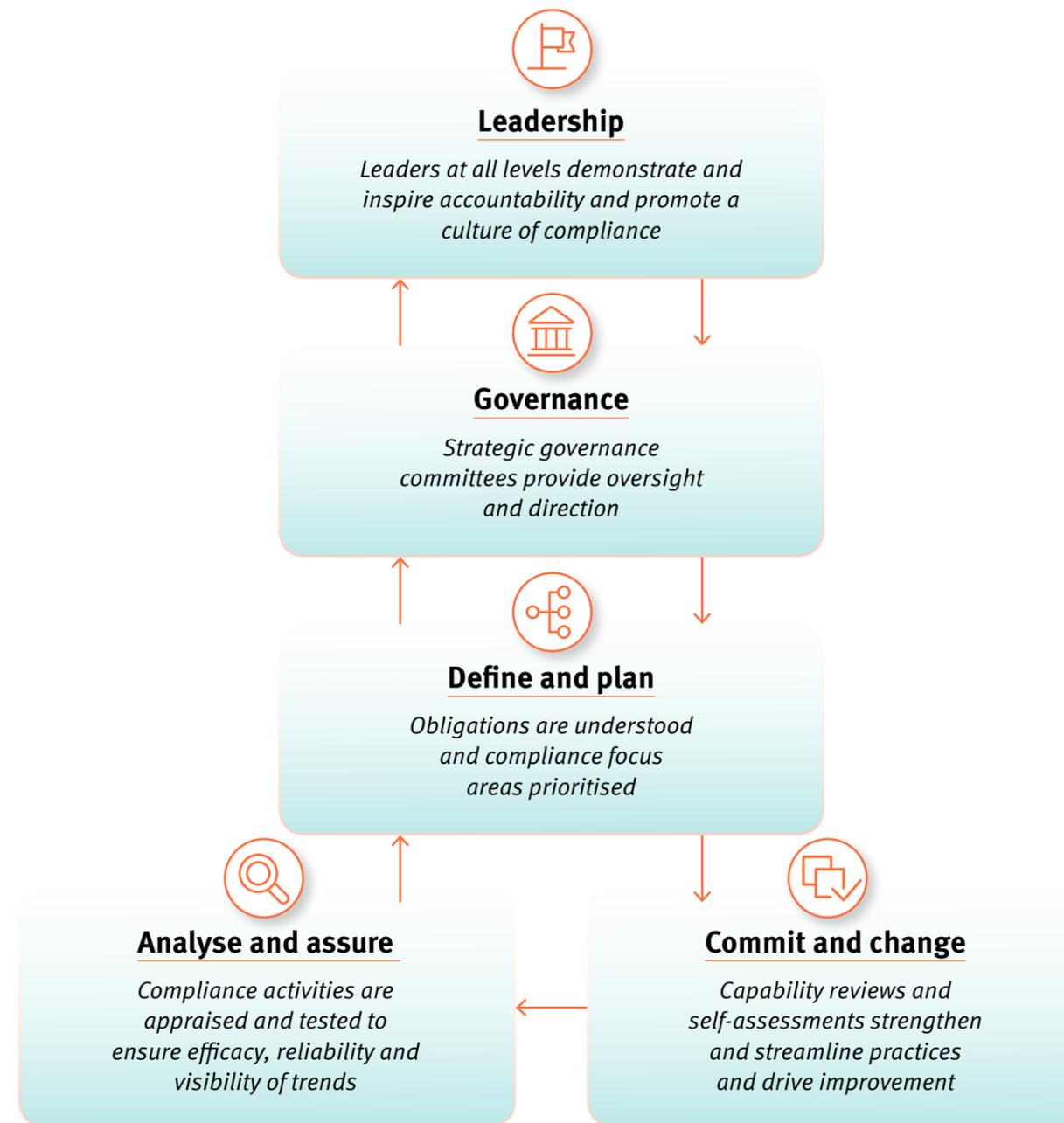
Improvement:

commitment to positive compliance practices drive ongoing evolution, refinement and enhancement.

Our approach

This framework supports discrete departmental compliance processes, systems and activities. This informs continual improvement by strengthening practices, improving controls, and ensuring non-compliance detection and reporting pathways are robust and accessible.

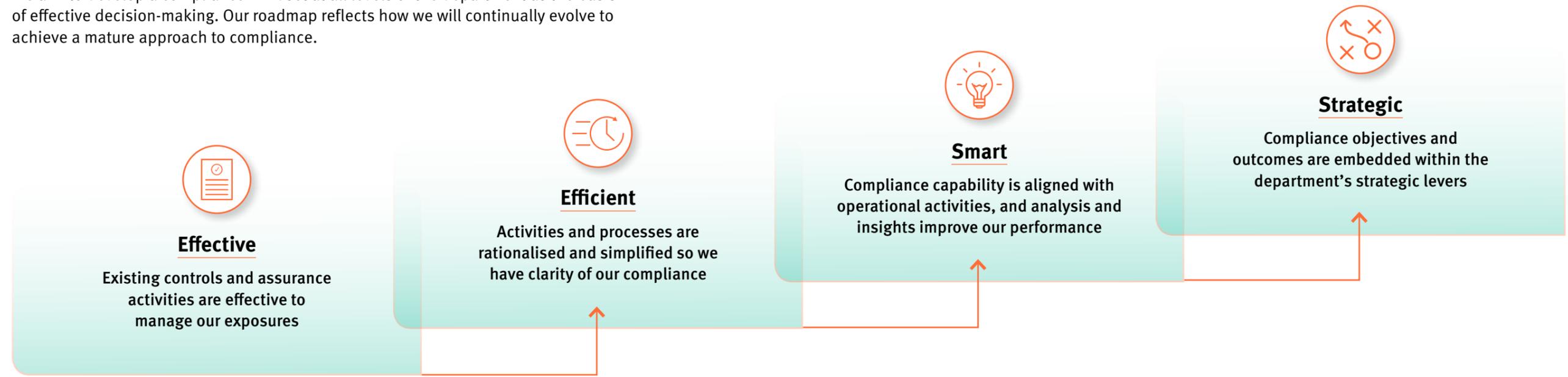
Our approach is informed by the *Compliance management systems – guidelines* (AS ISO 19600:2015).



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Our maturity roadmap

We aim to develop a compliance mindset at all levels of the department as the basis of effective decision-making. Our roadmap reflects how we will continually evolve to achieve a mature approach to compliance.



Our performance

Monitoring and reporting of our compliance approach will drive improvement in our organisational performance.

Our initial areas of focus will include:

- controls and actions
- understanding obligations, compliance behaviour and reporting pathways
- capability self-assessments
- non-compliance data

Our deliverables

By embedding a robust compliance approach, we will ensure:

- better understanding of obligations and how to report non-compliance** (Icon: Document with checkmark)
- visibility of trends, issues and opportunities to improve** (Icon: Lightbulb)
- strong capability and controls** (Icon: Gear with checkmark)
- compliance culture at all levels** (Icon: Group of people)
- effective management of risk** (Icon: Gear with exclamation mark)

Resources



- Legislative compliance policy
- Key legislation schedule
- Enterprise risk management framework, policy and procedure
- Compliance management systems – guidelines